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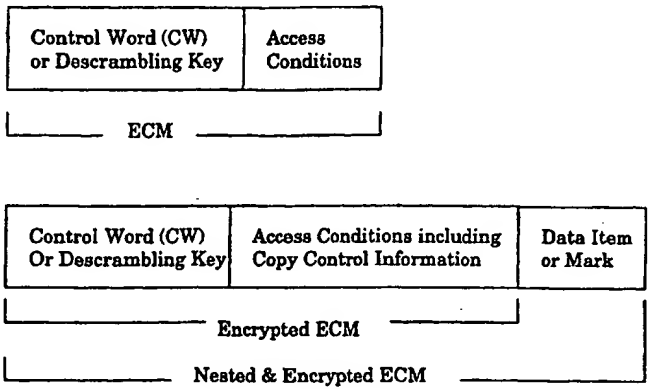

(57) Abstract

A method for managing a global copy protection system for home networks is provided. Particularly, the defined method protects copyrighted digital content from unauthorized copying as it is transmitted across digital interfaces, provided a practical way of creating legitimate copies of broadcast and prerecorded content, and prevents illegitimate copies from being viewed.

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transmitted program. In accordance with one aspect of the present invention, a method for copying a program having a scrambled program content component (for example, an audio/video program) and an encrypted control component (e.g., ECM) is defined. The method comprises receiving the program in a recording apparatus, and attaching a data item to the encrypted control component. The data item is used to indicate that the program has been copied. Finally, the encrypted control component and the data item together are encrypted to generate a nested control component.

In accordance with another aspect of the present invention, a method for managing access to a copy of a restricted program comprises receiving the restricted program in a processing apparatus. The nested control component is decrypted to obtain the encrypted control component and the data item. The encrypted control component is then decrypted to obtain a descrambling key and copy control information. The data item and the copy control information is compared to determine if the copy is authorized (or valid) and, if authorized, the program contentcomponent is descrambled using the descrambling key.

In accordance with yet another aspect of the present invention, the method for managing access to the recorded copy of a restricted program employs a smart card coupled to a video processing apparatus. Particularly, the method comprises transferring a cash reserve and entitlements to the smart card, receiving the recorded copy of the restricted program in the smart card, obtaining a descrambling key, copy control information and purchase information, comparing the copy control information and the data item to determine if said copy is authorized and verifying that the cost of the restricted program is less than the stored cash reserve. The cost of the restricted program is then deducted from the stored cash reserve, and the audio/video component is descrambled using the descrambling key. It is within the scope of the invention to substitute a "time model" for the "cost model", that is, the amount of time that a program is authorized to be viewed may be controlled.

These and other aspects of the invention will be explained with reference to a preferred embodiment of the invention shown in the accompanying Drawings.

Brief Description of the Drawing

Figure 1 is a block diagram illustrating a home network comprised of various digital devices that may receive scrambled content from a plurality of sources;

Figure 2a is a diagram defining a typical entitlement control message (ECM);

Figure 2b is a diagram defining a nested ECM in accordance with one embodiment of the present invention;

Figure 2c is a diagram defining an Extended ECM in accordance with another embodiment of the present invention; and

Figure 3 is a block diagram illustrating a typical home network employing the present invention.

Detailed Description of the Drawing

The present invention provides a conditional access system, which may be utilized to manage access to copies of restricted programs, for example, scrambled (or encrypted) programs. A conditional access system may be integrated into a renewable security device, such as a smart card complying to the National Renewable Security Standard (NRSS), EIA-679 Part A or Part B. The conditional access system, when implemented within a digital television (DTV), set-top box (STB), or the like, permits a user to view only legitimate copies of the scrambled program. The functionality of the smart card may be embedded within the DTV or STB.

A Certificate Authority (not shown) issues digital certificates and public and private key pairs, which are used as explained below. It is within the scope of this invention that the role of the certificate authority may be performed by the service providers in collaboration with the manufacturers of the devices. A billing center may be utilized to manage the user's accounts; updated information is provided as users make arrangements to purchase additional services and as these services are consumed or used.

Broadcasters are responsible for delivering: (1) the services, and (2) the entitlement messages (entitlement control messages) that allow any user to

buy those services. The broadcast channel is used only to deliver the services and information for access to these services. All the remaining transactions are carried out using a return channel (i.e., a modem and a phone connection or a cable modem). The present conditional access system may be based on E-cash card loading. A user pre-loads his/her card with a certain amount of cash (from debit or credit accounts), and then uses the card to buy services as described below.

If a return channel connection is not available to communicate with the CA server, then loading cash to the card requires the user to either access a device with back-channel support or go to a particular location (bank, ATM, vendor's regional office) to have the card loaded. The CA operators act like the card holder's or user's bank, while the billing center acts like the merchant's bank. The fixed amount of "cash" loaded into the renewable security device, for example, a removable smart card or conditional access module, can now be used to pay for services offered by a broadcaster or for the viewing of a recorded program. Whichever cash transfer mechanism is employed, the user requests a transfer of a specific amount of money to the CA card from a credit or debit account.

Once money is loaded into the card, a user can buy any number of services offered by broadcasters or, perhaps, may be used to purchase "viewing rights" for the recorded program. Each purchase reduces the amount of available money in the card by the service price. The services offered by broadcasters can be classified into two categories; PPV events and packages. An event is a TV program with an allocated slot in a program guide, and a package is simply a collection of events. Examples of packages are (1) all the football games in a given season, (2) the late Sunday movies on one or more ATSC virtual channels, (3) subscription to a particular virtual channel such as HBO. All events usually have one or more of their audiovisual streams scrambled using a common or shared symmetric key algorithm.

Upon purchase of an event or package, a record may be stored in the smart card which may be later transferred to the CA vendor. Once the stored purchase information is sent to the CA database, the CA vendor can pay broadcasters for the provided services.

The security of the system may be based on standard and widely accepted public key and symmetric key algorithms. For example, suitable algorithms include RSA for public key encryption and triple DES and/or single

DES for symmetric key scrambling. In an exemplary system utilizing these algorithms, there is a global RSA public/private key pair, Kpub/Kpri, for the entire system. The public key is shared by all the broadcasters, and the corresponding private key is placed in the tamper-proof NRSS-based smart cards, distributed by the CA providers to the consumers. This public key is used to protect the ECMs generated at the head-end. It is within the scope of this invention that a scrambling algorithm may be a cipher other than DES.

Symmetric key cryptography involves the use of the same key for both encryption and decryption. The foundation of public-key cryptography is the use of two related keys, one public and one private. The private key is a secret key, and it is computationally unfeasible to deduce the private key from the public key, which is publicly available. Anyone with a public key can encrypt a message, but only the person or device having the associated and predetermined private key can decrypt it.

A digital home network 10, as depicted in Figure 1, is a cluster of digital audio/visual (A/V) devices including set-top-boxes 12, TVs 14, VCRs 16, DVD players 18 and general-purpose computing devices (not shown) such as personal computers. Several digital interfaces will be available for device interconnection within home networks. For example, EIA-775 DTV 1394 Interface Specification defines a specification for a baseband digital interface to a DTV which is based on the IEEE 1394 Standard for High Performance Serial Bus. The IEEE 1394 serial bus allows digital devices such as televisions, VCRs, DVD players and set-top-boxes to communicate with each other. It provides two types of transport: asynchronous transport for "guaranteed delivery", and the optional isochronous transport for "guaranteed timing." (Isochronous channels are required for multimedia applications.) EIA-761 DTV Remodulator Specification with Enhanced OSD Capability and EIA-762 DTV Remodulator Specification defines minimum specifications for a one-way data path utilizing an 8 VSB and a 16 VSB remodulator, respectively, in compliance with ATSC Standard A/53 Annex D.

The present invention defines a new paradigm for copy protection within a digital home network. This paradigm allows the copying of digital content that may either be broadcast or pre-corded. The copy is checked for legitimacy before display.

Further, as depicted in Figure 1, original copyrighted content is delivered to the home network 10 from a number of sources. It may be

transmitted via satellite 20, terrestrial 22 or cable 24 systems or recorded on a digital tape 26 or a DVD 28. Transmitted or recorded on media, the content can be identified as "never-copy", "copy-once" and "free-copy". These three states are represented using the Copy Generation Management System (CGMS) bits. (The CGMS bits are a part of the CCI.) All the A/V devices in the cluster should obey "playback control", "record control" and "one-generation control" rules as summarized below.

Device type\ content type	Never-copy	Copy-once	No-more-copies	Free copy
Player	Play.	Play.	Play.	Play.
Recorder	Do not record	Record and change content type to "no-more-copies" in the new copy.	Do not record.	Record.

A copy protection system must protect the transmission of the audio/video content from one A/V device to another, and must protect the storage of the audio/video content. The present invention defines solutions to both of these problems by "keeping content scrambled until it is displayed". It allows recording of scrambled content, but prohibits viewing if the content is not legitimate (i.e., not an original or a one-generation copy). This is in contrast with the recording rules as defined in the above table.

Particularly, Figure 1 illustrates a typical home network comprised of various digital audio/video devices capable of receiving digital content (e.g., a movie) where the present invention may be employed. The digital content is encoded with MPEG-2 Transport Stream (TS) format and broadcast together with the Entitlement Control Messages (ECMs). An ECM (see Figure 2a) is a cryptogram of the control word (i.e., descrambling key) and the access conditions.

The STB or DTV receives the scrambled A/V stream from a source (broadcast head-end or player) and transmits it directly to a smart card. The smart card (SC) 30 is inserted into, or coupled to, a smart card reader (not shown); an internal bus interconnects the STB or DTV and the smart card thereby permitting the transfer of data therebetween. Such smart cards include, for example, ISO 7816 cards complying with National Renewable Security Standard (NRSS) Part A or PCMCIA cards complying with NRSS Part B. As stated above, this inventive concept is not limited to smart cards per se, but can be employed with any renewable security device. Conceptually, when a smart card is coupled to a smart card reader, the functionality of the smart card may be considered to

be a part of the functionality of the digital television, thus removing the "boundaries" created by the physical card body of the smart card.

The smart card checks if the content is legitimate, recovers the DES keys, and descrambles the stream after checking the entitlement. (An on-screen display message (OSD) prompts the consumer to initiate a purchase offer just before the movie starts.) A subscription entitlement is stored in the card, but an event entitlement is transmitted with the event and used to generate the purchase offer).

Two unique, but related, methods for differentiating copies from an original and then verifying if the copy is legitimate prior to enabling the user to view the copy are defined below. In either method when the scrambled program is to be recorded, the first thing the recording device (e.g., a DVCR or a DVD recorder) does is to verify whether the program is scrambled. This may be achieved by checking for ECMs which are identified by their packet identification (PID) in the packet header. One alternative would be to check the Transport Scrambling Control (TSC) bits in the transport packet header. Another method would be to ascertain whether the program is scrambled as described below. The MPEG video syntax includes byte-aligned 32 bit fields called "start codes" that indicate synchronizing points in the bit stream. For example, there are "picture start codes" (0x 00 00 01 00) at the beginning of each frame in the MPEG video bit stream. These frames can occur at 60, 50, 30, or 24 frames per second (fps). Therefore, a simple test would be to look for picture start codes in the bitstream. If the rate of picture start codes per second is close to one of the possible rates, then it is reasonable to assume that the bit stream is not encrypted.

In one embodiment of the present invention if the content is scrambled, the recorder encrypts the ECMs using the global public key. Before encryption takes place, the recorder attaches a mark (or data item) (see Figure 2b) to each ECM as an indication of copying. In general, every time a scrambled movie is copied, its ECMs are encrypted once again, a process that may be referred to as "nesting". This allows the smartcard to determine how many times the original movie has been copied. The following example (wherein GPK is the Global public key, E is the Encryption process, CW is the Control word (the key for descrambling) and ECM contains CW, CCI, source of the content and other data) detects an illegitimate copy and prevents the display thereof.

Assume an ECM of the movie has the form: E_{GPK}(CW, never-copy). If a recorder receives this ECM, it will transform it to: E_{GPK} [E_{GPK}(CW, never-copy),

copy-mark)]. The movie with this nested ECM will be the output of the recording process. When a user attempts to view it, the smart card will detect that it is a copy of a "never-copy" content and will not allow display. If the movie is a "copy-once" content, the ECM will be in the form: E_{GPK} [E_{GPK}(CW, copy-once), copy-mark)] in the copy. This is an indication of a legitimate copy and the smart card will allow viewing. However, if a copy of a copy is created, the ECM will have two layers of nesting, for example, [E_{GPK} {E_{GPK} [E_{GPK}(CW, copy-once), copy-mark)], copy-mark}], and the copy will be detected to be illegitimate.

One way to increase the security of the copy protection system is to use a local public key for recording purposes. This requires a smart card with a unique public/private key pair. For copying a movie, the smart card is coupled to the VCR and provides the public key. The public key is then used to encrypt the ECMs to create a copy that can be played only with the corresponding unique private key.

Another option to increase the security of the system is to attach a unique recorder ID together with the copy-mark during the ECM nesting process. This additional information creates a binding between the copy and the recorder. Further, both the recorder and the smart card would have the same recorder ID. Therefore, viewing of the copy would only be possible with the smart card having the recorder ID.

Every copyrighted (and encrypted) digital content shall be available to be copied on any recorder. The created copy, if legitimate, can then be viewed according to the rules of an established payment system. If, for example, a DTV receives a scrambled program without a nested ECM, then the DTV would treat the program as if it was an original scrambled program and not a copy. That is, the DTV would allow the program to be viewed. However, if the user wished to make a copy of the "original program", then the ECM and a data item would together be encrypted in accordance with the present invention.

In an alternate embodiment of the present invention, the ECMs are extended to contain the CGMS bits and access rights as well as control words. Every time copyrighted content (e.g., a movie) is recorded, the extended ECMs (XECMs) are modified through a one-way, irreversible transformation (for example, hashing) to distinguish copies from the original. A function f from a set X to a set Y is called a *one-way function* if $f(x)$ is easy to compute for all $x \in X$ but for essentially all $y \in \mathrm{Im}(f)$, it is computationally infeasible to find any $x \in X$ such that $f(x) = y$.

When the smart card receives the XECMs, it processes them depending on the type of the system. Two functionally distinct systems can be accommodated within this architecture: Conditional Access (CA) systems and Copy Protection (CP) systems.

(i) CA system: The smart card is a component of a CA system. Before viewing is allowed, the smart card checks how many times the XECMs are modified and responds according to the pre-defined rules of the CA system.

(ii) CP system: The smart card is a component of a CP system. The functionality of the smart card is limited. It checks the legitimacy of the content and prevents the viewing of illegitimate copies.

The processing of XECMs will be explained using the following example and referring to Figures 2C and 3. Assume a movie is being copied on a DVCR. Its XECM syntax is defined to be XECM = E_K(CW, D/T, content type, x_0),x_i, where $x_0 = x_1$, $x_{i+1} = f(x_i)$ for i > 0 and E is the encryption process, K is the encryption key, CW is the control word, D/T is the date and time stamp, x_0 is a random number, and f is a one-way function.

(a) Content type is "never-copy":
Recorder input: E_K(CW, D/T, "never-copy", x_0), x_1
Recorder output: E_K(CW, D/T, "never-copy", x_0), x_2
When the user attempts to view the copy, the card will, after decrypting the XECM, compare x_0 and x_2. If they are not equal, display will not be allowed.

(b) Content type is "copy-once":
Recorder input: E_K(CW, D/T, "copy-once", x_0), x_1
Recorder output: E_K(CW, D/T, "copy-once", x_0), x_2
This time the comparison of x_0 and x_2 will reveal that the copy is legitimate. If, however, the 1st generation copy is the input to the recorder, the output will be illegitimate since $f(f(x_0)) = x_3$. Note that the XECMs are modified without consideration of the number of modifications already made.

In CA systems, the D/T stamp field allows detection of copies made by a pirated recorder. When a card detects an "old" XECM that has not been modified, it will consider it to be a pirate copy. In CP systems, the D/T stamp can be used to assign limited lifetime to prerecorded media and authorized copies made from them.

A very important feature of the "XECM modification" scheme is that it gives the content distributors (broadcasters and publishers) complete freedom in choosing their encryption algorithm for creating the XECMs. Hence, although the copy protection system is constructed as an extension of the CA systems, it is "decoupled". The only requirement is to use the common structure for the XECM.

As described below, the XECM originating at the content source has two sections: Private and Mandatory. The Private section contains fields that are privately defined by the operators of CA and CP systems. The Mandatory section contains three fields that must be included in all XECMs.

The fields in the Private section of the XECM include: XECM_id (Unique identifier for the Extended Entitlement Control Message), XECM_length (an 8-bit field specifying the number of bytes in the XECM), format_identifier (a 32-bit field that identifies the registration authority that assigns values to the provider_index field), provider_index (a 16-bit field that identifies the content provider), program_event_id (a 24-bit field that identifies a particular TV program or event), transport_stream_id (a 16-bit field that identifies the Transport Stream where the event is being carried), source_id (a 16-bit field that identifies uniquely the particular service where the event is being transmitted), event_id (a 14-bit field that identifies uniquely a particular event within a given service of this Transport Stream), start_time (a 32-bit field indicating the event start time), length_in_seconds (a 20-bit field indicating the length of the event), title_segment (the first 10 characters of the English title for the event that this message describes), event_price (a BCD field which indicates the cost of the event), scrambling_key (a 64-bit key necessary for de-scrambling the video and audio signals for the event under consideration), descriptors_length (the total length of the descriptor list that follows the descriptors). The Mandatory section of the XECM include: CCI — Copy Control Information (CGMS bits, APS trigger bits and Digital Source bit), copy_indicator_initial_value (a random bit sequence) and copy_indicator (a bit sequence equal to copy_indicator_initial_value).

DTV 14 is the final destination of the digital content 40 for viewing. It receives the scrambled A/V stream from a source (broadcast/cable head-end, satellite, cable STB, DBS STB or playback device) and transmits it directly to the smart card 30. Smart card 30 checks if the content is legitimate. For example, if it receives a broadcast PPV movie, an OSD prompts the consumer to initiate a purchase offer before the movie starts. If the movie is purchased, a record is stored in the card. The card then recovers the scrambling keys and descrambles

the stream. The information about the event (price, start time, length, etc.) contained in the XECMs is used to generate the purchase offer. Finally, DTV 14 outputs the same stream it receives.

If a movie is to be recorded, the DVCR detects and modifies the XECMs. In addition, the Transport Scrambling Control (TSC) bits in the transport packet header can be checked to see if the content is scrambled.
If the content is not scrambled, it is copied as is. In general, every time a scrambled movie is copied, its XECMs are modified once again. This allows the smart card to determine how many times the original movie has been copied. Optionally, the XECM modification functionality can be assigned to a smart card inserted to the recorder. In this case, the recorder needs to have a smart card reader.

While the invention has been described in detail with respect to numerous embodiments thereof, it will be apparent that upon reading and understanding of the foregoing, numerous alterations to the described embodiment will occur to those skilled in the art and it is intended to include such alterations within the scope of the appended claims.

Claims

1. A method for copying a program having a scrambled program content component and an encrypted control component comprising:

   (a) receiving, in a recording apparatus, said program;

   (b) attaching a data item to said encrypted control component, said data item indicating that said program has been copied;

   (c) encrypting said encrypted control component and said data item to generate a nested control component; and

   (d) recording said program content component and said nested control component.

2. The method of Claim 1 wherein the steps of receiving, attaching and encrypting are performed in a smart card coupled to said recording apparatus.

3. The method of Claim 2 wherein said encrypted control component comprises copy control information, a descrambling key associated with said scrambled program content component.

4. The method of Claim 3 wherein said copy control information indicates one of never-copy state and copy-once state.

5. The method of Claim 4 wherein said encrypted control component is encrypted using a global public key.

6. The method of Claim 5 wherein said nested control component is encrypted using said global public key.

7. The method of Claim 6 wherein said global public key is associated with said smart card, said smart card having a corresponding private key stored therein.

8. The method of Claim 7 wherein said encrypted control component further comprises purchase information comprising channel identification data, event identity data, date and time stamp data, and billing data.

9. The method of Claim 8 wherein said smart card comprises a card body with a plurality of terminals arranged on a surface of said card body in accordance with one of ISO 7816 and PCMCIA card standards.

10. The method of Claim 9 wherein said recording apparatus is a digital video cassette recorder.

11. The method of Claim 10 wherein said recording apparatus is a recordable DVD apparatus.

12. A method for managing access to a copy of a restricted program, said method comprising:

(a) receiving said restricted program in a processing apparatus, said restricted program having a scrambled program content component and a nested control component, said nested control component being encrypted;

(b) decrypting said nested control component to obtain an encrypted control component and a data item, said data item indicating that said restricted program has been copied;

(c) decrypting said encrypted control component to obtain a descrambling key and copy control information;

(d) comparing said copy control information and said data item to determine if said copy is valid; and

(e) descrambling said program content component, using said descrambling key in response to a determination that said copy is valid.

13. The method of Claim 12 wherein said encrypted control component and said nested control component are encrypted using a global public key.

14. The method of Claim 13 wherein the steps of receiving, decrypting, comparing and descrambling are performed in a smart card coupled to said processing apparatus, said steps of decrypting employ a private key stored in said smart card and associated with said global public key.

15. The method of Claim 14 wherein said encrypted control component further comprises purchase information comprising channel identification data, event identity data, date and time stamp data, and billing data.

16. The method of Claim 15 wherein said purchase information comprises the cost of said program, said method further comprising:

(a) deducting the cost of said program from a cash reserve stored in said smart card to determine a calculated cash reserve;

(b) descrambling, in said smart card, said scrambled program content component using said descrambling key in response to having a positive calculated cash reserve; and

(c) passing said descrambled transmitted event to said video processing apparatus.

17. The method of Claim 16 wherein said cash reserve is downloaded in an e-cash certificate message from an automatic teller machine.

18. The method of Claim 17 wherein said processing apparatus is one of a digital video cassette recorder/player and a DVD recorder/player.

19. The method of Claim 18 wherein said smart card comprises a card body with a plurality of terminals arranged on a surface of said card body in accordance with one of ISO 7816 and PCMCIA card standards.

20. A method for managing access to a recorded copy of a restricted program using a smart card coupled to a video processing apparatus comprises:

(a) transferring, from a bank, a cash reserve to said smart card;

(b) receiving, in said smart card, said recorded copy of said restricted program from said video processing apparatus, said restricted program having a scrambled audio/video component and a nested control component, said nested control component being encrypted;

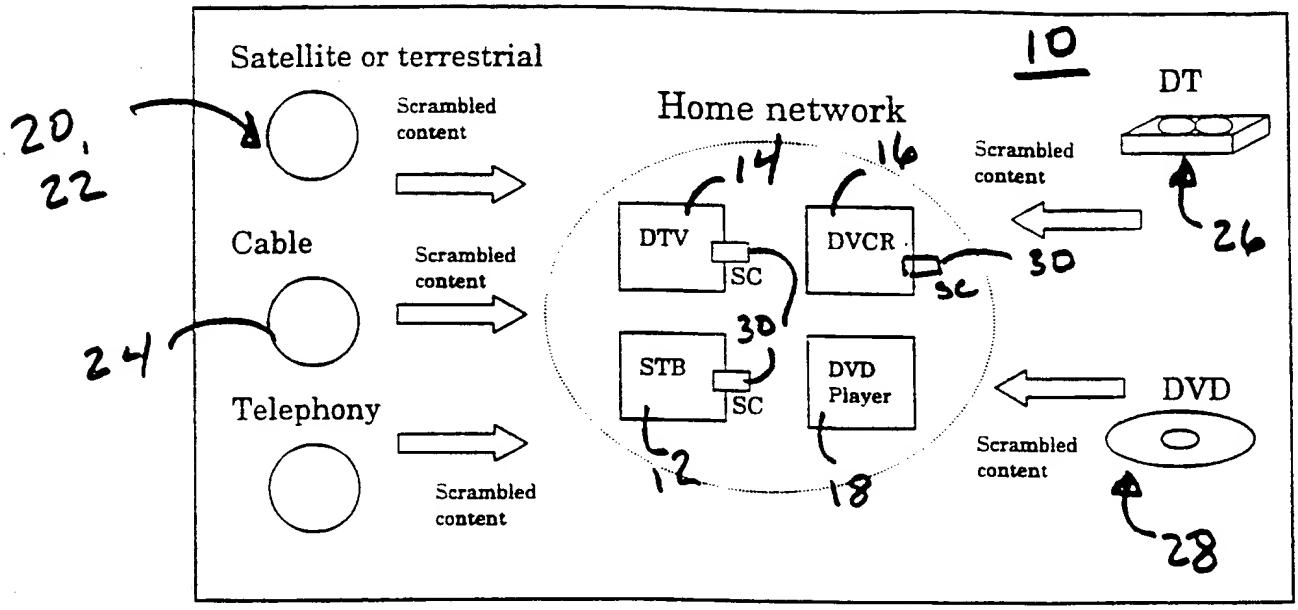
(c) decrypting said nested control component to obtain an encrypted control component and a data item, said data item indicating that said restricted program has been copied;

(d) decrypting said encrypted control component to obtain a descrambling key, copy control information and purchase information;

(e) comparing said copy control information and said data item to determine if said copy is valid;

(f) verifying that the cost of said restricted program is less than the stored cash reserve and deducting the cost of said restricted program from said stored cash reserve;

(g) descrambling said audio/video component, using said descrambling key.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Scrambled content
Cable
Scrambled content
Telephony
Scrambled content
Home network
DTV
SC
DVCR
SC
STB
SC
DVD Player
Scrambled content
DT
Scrambled content
DVD
Scrambled content
10
20, 22
24
14
16
30
30
12
18
26
28

Fig 1

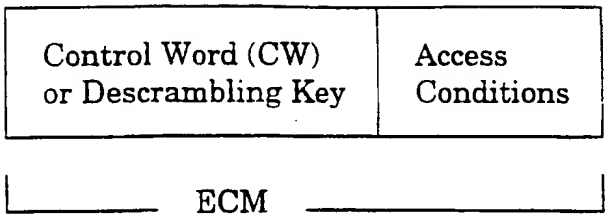

Fig. 2A

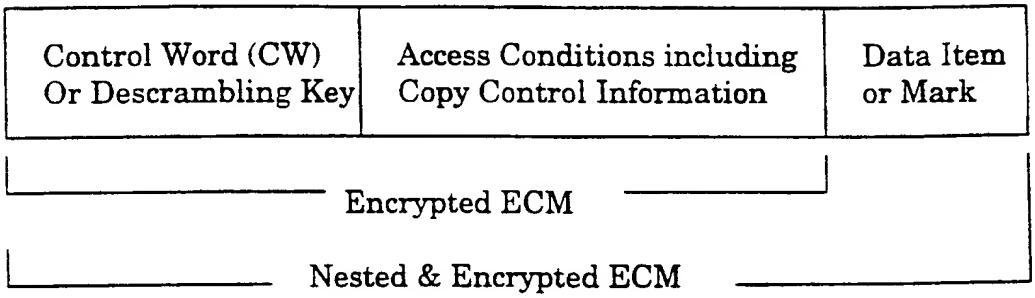

Fig. 2B

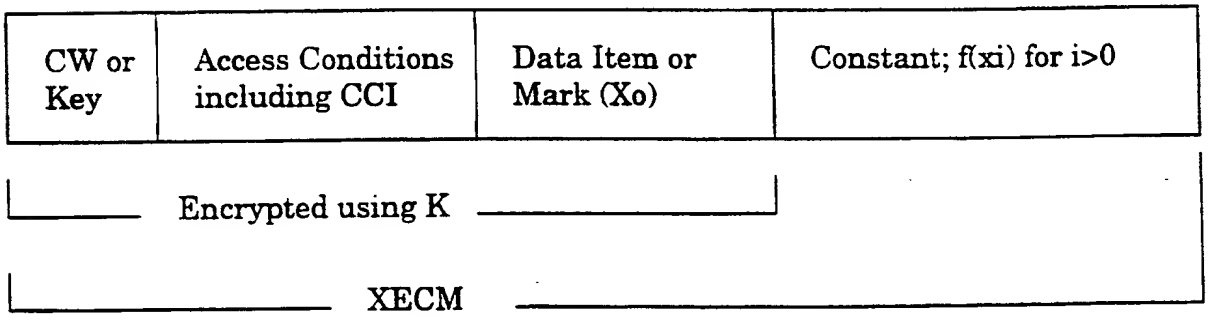

Fig. 2C

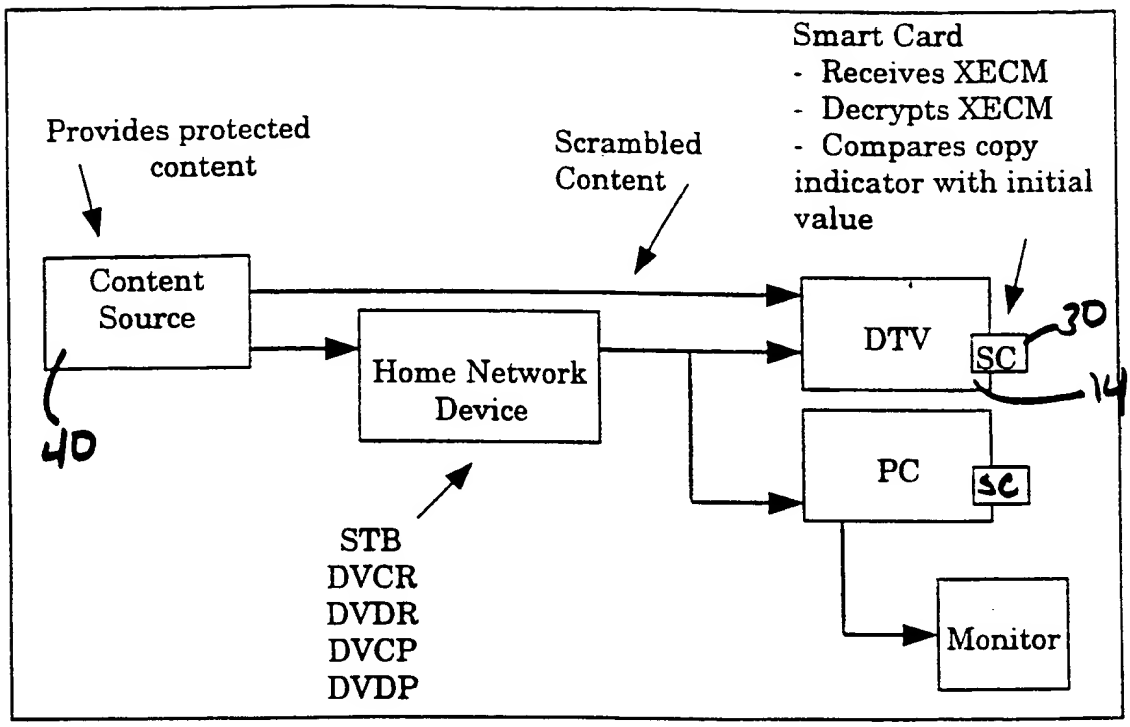
Smart Card
- Receives XECM
- Decrypts XECM
- Compares copy indicator with initial value
Provides protected content
Scrambled Content
Content Source
Home Network Device
DTV
SC
30
14
PC
SC
40
STB
DVCR
DVDR
DVCP
DVDP
Monitor

Fig 3.

INTERNATIONAL SEARCH REPORT

Interna al Application No
PCT/US 99/19700

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04N5/913 H04N7/167 H04N7/24

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	EP 0 912 052 A (CANAL PLUS SA) 28 April 1999 (1999-04-28) the whole document	1-20
X	EP 0 763 936 A (LG ELECTRONICS INC) 19 March 1997 (1997-03-19) the whole document	1-20
X	EP 0 714 204 A (LG ELECTRONICS INC) 29 May 1996 (1996-05-29) the whole document	1-20
A	WO 97 25816 A (SONY CORP ;INOUE HAJIME (US); LEE CHUEN CHIEN (US); SONY ELECTRONI) 17 July 1997 (1997-07-17) the whole document	1,12

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[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
11 February 2000	18/02/2000

Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Giannotti, P

Form PCT/ISA/210 (second sheet) (July 1992)

Interna al Application No
PCT/US 99/19700

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	"FUNCTIONAL MODEL OF A CONDITIONAL ACCESS SYSTEM" EBU REVIEW- TECHNICAL,BE,EUROPEAN BROADCASTING UNION. BRUSSELS, no. 266, 21 December 1995 (1995-12-21), pages 64-77, XP000559450 ISSN: 0251-0936	
A	EP 0 858 184 A (NDS LTD) 12 August 1998 (1998-08-12)	
A	FR 2 732 537 A (CANAL PLUS SA) 4 October 1996 (1996-10-04)	
A	EP 0 860 823 A (TOKYO SHIBAURA ELECTRIC CO) 26 August 1998 (1998-08-26)	

1

Information on patent family members

Interna al Application No
PCT/US 99/19700

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0912052 A	28-04-1999	AU 9092698 A	12-04-1999
		WO 9916244 A	01-04-1999
EP 0763936 A	19-03-1997	CN 1150738 A	28-05-1997
		JP 9093561 A	04-04-1997
		US 5799081 A	25-08-1998
EP 0714204 A	29-05-1996	CN 1137723 A	11-12-1996
		JP 8242438 A	17-09-1996
		US 5757909 A	26-05-1998
WO 9725816 A	17-07-1997	AU 1344097 A	01-08-1997
		CN 1209247 A	24-02-1999
		EP 0882357 A	09-12-1998
		US 5889919 A	30-03-1999
EP 0858184 A	12-08-1998	GB 2322030 A,B	12-08-1998
FR 2732537 A	04-10-1996	NONE	
EP 0860823 A	26-08-1998	US 5987126 A	16-11-1999
		WO 9802881 A	22-01-1998

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(74) Agents: TRIPOLI, Joseph, S. et al.; Thomson Multimedia Licensing Incorporated, P.O. Box 5312, Princeton, NJ 08540 (US).

(81) Designated States *(national)*: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW.

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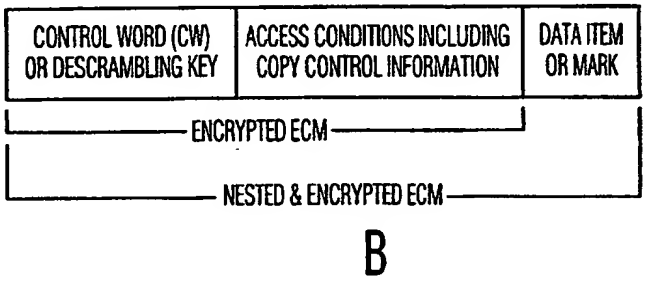
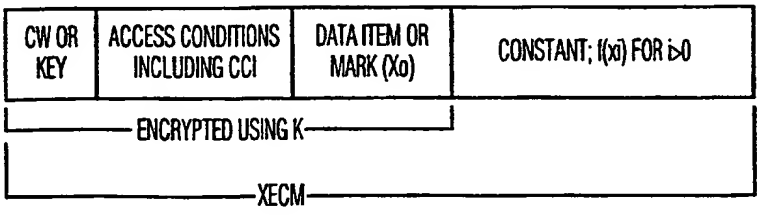
[Continued on next page]

(54) Title: A COPY PROTECTION SYSTEM FOR HOME NETWORKS



B



C

(57) Abstract: A method for managing a global copy protection system for home networks is provided. Particularly, the defined method protects copyrighted digital content from unauthorized copying as it is transmitted across digital interfaces, provided a practical way of creating legitimate copies of broadcast and prerecorded content, and prevents illegitimate copies from being viewed.

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A COPY PROTECTION SYSTEM FOR HOME NETWORKS

Field of the Invention

This invention concerns a system that may be used to manage access to a copy of a scrambled digital stream, such as a program or event. The scrambled digital stream is not descrambled until it is determined that the copy of the program is legitimate.

Background of the Invention

Today's NTSC televisions receive broadcast services from a variety of service providers. Some television receivers are capable of receiving unscrambled information or programs from broadcast, satellite and cable networks. Traditionally, cable networks or digital satellite systems providing scrambled or encrypted programs usually require a separate stand-alone device (e.g., a set-top box) to descramble or decrypt the program. These set-top boxes may utilize a removable smart card which contain the necessary decrypting algorithms and keys.

In the near future, digital televisions (DTVs) and digital set-top boxes (STBs) will be capable of receiving digital broadcast, cable and satellite services. Therefore, the protection of digital video and audio content has become one of the major issues for the Information Technology (IT), Consumer Electronics (CE) and Motion Picture (MP) industries. Analog services can be protected reasonably well using a signal distortion mechanism. As a similar solution is not possible for digital content, a new approach for delivering digital audio and video content with adequate protection against illegal duplication is needed.

Summary of the Invention

The present invention resides, in part, in recognition of the described problem and, in part, in providing a solution to this problem. A method is described for preventing the use of unauthorized copies of digital content (e.g., movies, etc.). The content, presented in MPEG-2 Transport Stream format, is scrambled using a common algorithm before release. The scrambling keys and other data are included in the Entitlement Control Messages (ECMs) that may be encrypted with the public key of a renewable security device (for example, a removable smart card). The other data includes the price and source (broadcast

or pre-recorded) of the content (or program) and Copy Control Information (CCI). Before recording a program, the recording device, connected to the home network, first checks if the program is scrambled. If scrambled content is detected, the recorder attaches a "copy-mark" or "data item" to each ECM in the new copy and encrypts them with the public key. The data item indicates that the restricted program (actually, that the audio/video component) has been copied. In general, every time a scrambled content is copied, its ECMs are encrypted once again. This process, called ECM nesting, allows the renewable security device coupled to the display unit (e.g., Digital TV) to distinguish between legitimate and illegitimate copies.

An event or program as described herein comprises one of the following: (1) audio/visual data such as a movie, weekly "television" show or a documentary; (2) textual data such as an electronic magazine, paper, or weather news; (3) computer software; (4) binary data such as images or (5) HTML data (e.g., web pages). A service provider may comprise any provider of an event or program, for example, traditional broadcast television networks, cable networks, digital satellite networks, providers of electronic list of events, such as electronic program guide providers, and in certain cases internet service providers.

A system in accordance with the present invention may utilize public key technology. Typically, such a system utilizes one public key (corresponding to a smart card) for all service providers. Each smart card has stored therein a secret private key that can decrypt messages encrypted by the public key. The service provider sends a conditional access (CA) entitlement message (i.e., an Entitlement Control Message or ECM) in the bit stream encrypted by the public key that may contain the name of the service provider, and the name, time, and cost of the program. This message is decrypted by the smart card, and the appropriate information is stored therein. In one embodiment, the smart card may have a certain amount of credit for purchases that has been enabled by a bank or from a service provider. As long as the limit is not exceeded, services can be purchased by the user. At some appropriate preprogrammed time, the smart card causes the device (e.g., set-top box) to automatically place a telephone call to the CA center. Using a secure channel, the CA center in cooperation with a bank receives billing information from the smart card and provides additional credit. The bank forwards the information and credits the appropriate service provider.

Generally, the present invention defines a method for managing access (i.e., viewing) to a copy of a restricted (or scrambled) broadcast or

transmitted program. In accordance with one aspect of the present invention, a method for copying a program having a scrambled program content component (for example, an audio/video program) and an encrypted control component (e.g., ECM) is defined. The method comprises receiving the program in a recording apparatus, and attaching a data item to the encrypted control component. The data item is used to indicate that the program has been copied. Finally, the encrypted control component and the data item together are encrypted to generate a nested control component.

In accordance with another aspect of the present invention, a method for managing access to a copy of a restricted program comprises receiving the restricted program in a processing apparatus. The nested control component is decrypted to obtain the encrypted control component and the data item. The encrypted control component is then decrypted to obtain a descrambling key and copy control information. The data item and the copy control information is compared to determine if the copy is authorized (or valid) and, if authorized, the program contentcomponent is descrambled using the descrambling key.

In accordance with yet another aspect of the present invention, the method for managing access to the recorded copy of a restricted program employs a smart card coupled to a video processing apparatus. Particularly, the method comprises transferring a cash reserve and entitlements to the smart card, receiving the recorded copy of the restricted program in the smart card, obtaining a descrambling key, copy control information and purchase information, comparing the copy control information and the data item to determine if said copy is authorized and verifying that the cost of the restricted program is less than the stored cash reserve. The cost of the restricted program is then deducted from the stored cash reserve, and the audio/video component is descrambled using the descrambling key. It is within the scope of the invention to substitute a "time model" for the "cost model", that is, the amount of time that a program is authorized to be viewed may be controlled.

These and other aspects of the invention will be explained with reference to a preferred embodiment of the invention shown in the accompanying Drawings.

Brief Description of the Drawing

Figure 1 is a block diagram illustrating a home network comprised of various digital devices that may receive scrambled content from a plurality of sources;

Figure 2a is a diagram defining a typical entitlement control message (ECM);

Figure 2b is a diagram defining a nested ECM in accordance with one embodiment of the present invention;

Figure 2c is a diagram defining an Extended ECM in accordance with another embodiment of the present invention; and

Figure 3 is a block diagram illustrating a typical home network employing the present invention.

Detailed Description of the Drawing

The present invention provides a conditional access system, which may be utilized to manage access to copies of restricted programs, for example, scrambled (or encrypted) programs. A conditional access system may be integrated into a renewable security device, such as a smart card complying to the National Renewable Security Standard (NRSS), EIA-679 Part A or Part B. The conditional access system, when implemented within a digital television (DTV), set-top box (STB), or the like, permits a user to view only legitimate copies of the scrambled program. The functionality of the smart card may be embedded within the DTV or STB.

A Certificate Authority (not shown) issues digital certificates and public and private key pairs, which are used as explained below. It is within the scope of this invention that the role of the certificate authority may be performed by the service providers in collaboration with the manufacturers of the devices. A billing center may be utilized to manage the user's accounts; updated information is provided as users make arrangements to purchase additional services and as these services are consumed or used.

Broadcasters are responsible for delivering: (1) the services, and (2) the entitlement messages (entitlement control messages) that allow any user to

buy those services. The broadcast channel is used only to deliver the services and information for access to these services. All the remaining transactions are carried out using a return channel (i.e., a modem and a phone connection or a cable modem). The present conditional access system may be based on E-cash card loading. A user pre-loads his/her card with a certain amount of cash (from debit or credit accounts), and then uses the card to buy services as described below.

If a return channel connection is not available to communicate with the CA server, then loading cash to the card requires the user to either access a device with back-channel support or go to a particular location (bank, ATM, vendor's regional office) to have the card loaded. The CA operators act like the card holder's or user's bank, while the billing center acts like the merchant's bank. The fixed amount of "cash" loaded into the renewable security device, for example, a removable smart card or conditional access module, can now be used to pay for services offered by a broadcaster or for the viewing of a recorded program. Whichever cash transfer mechanism is employed, the user requests a transfer of a specific amount of money to the CA card from a credit or debit account.

Once money is loaded into the card, a user can buy any number of services offered by broadcasters or, perhaps, may be used to purchase "viewing rights" for the recorded program. Each purchase reduces the amount of available money in the card by the service price. The services offered by broadcasters can be classified into two categories; PPV events and packages. An event is a TV program with an allocated slot in a program guide, and a package is simply a collection of events. Examples of packages are (1) all the football games in a given season, (2) the late Sunday movies on one or more ATSC virtual channels, (3) subscription to a particular virtual channel such as HBO. All events usually have one or more of their audiovisual streams scrambled using a common or shared symmetric key algorithm.

Upon purchase of an event or package, a record may be stored in the smart card which may be later transferred to the CA vendor. Once the stored purchase information is sent to the CA database, the CA vendor can pay broadcasters for the provided services.

The security of the system may be based on standard and widely accepted public key and symmetric key algorithms. For example, suitable algorithms include RSA for public key encryption and triple DES and/or single

DES for symmetric key scrambling. In an exemplary system utilizing these algorithms, there is a global RSA public/private key pair, Kpub/Kpri, for the entire system. The public key is shared by all the broadcasters, and the corresponding private key is placed in the tamper-proof NRSS-based smart cards, distributed by the CA providers to the consumers. This public key is used to protect the ECMs generated at the head-end. It is within the scope of this invention that a scrambling algorithm may be a cipher other than DES.

Symmetric key cryptography involves the use of the same key for both encryption and decryption. The foundation of public-key cryptography is the use of two related keys, one public and one private. The private key is a secret key, and it is computationally unfeasible to deduce the private key from the public key, which is publicly available. Anyone with a public key can encrypt a message, but only the person or device having the associated and predetermined private key can decrypt it.

A digital home network 10, as depicted in Figure 1, is a cluster of digital audio/visual (A/V) devices including set-top-boxes 12, TVs 14, VCRs 16, DVD players 18 and general-purpose computing devices (not shown) such as personal computers. Several digital interfaces will be available for device interconnection within home networks. For example, EIA-775 DTV 1394 Interface Specification defines a specification for a baseband digital interface to a DTV which is based on the IEEE 1394 Standard for High Performance Serial Bus. The IEEE 1394 serial bus allows digital devices such as televisions, VCRs, DVD players and set-top-boxes to communicate with each other. It provides two types of transport: asynchronous transport for "guaranteed delivery", and the optional isochronous transport for "guaranteed timing." (Isochronous channels are required for multimedia applications.) EIA-761 DTV Remodulator Specification with Enhanced OSD Capability and EIA-762 DTV Remodulator Specification defines minimum specifications for a one-way data path utilizing an 8 VSB and a 16 VSB remodulator, respectively, in compliance with ATSC Standard A/53 Annex D.

The present invention defines a new paradigm for copy protection within a digital home network. This paradigm allows the copying of digital content that may either be broadcast or pre-corded. The copy is checked for legitimacy before display.

Further, as depicted in Figure 1, original copyrighted content is delivered to the home network 10 from a number of sources. It may be

transmitted via satellite 20, terrestrial 22 or cable 24 systems or recorded on a digital tape 26 or a DVD 28. Transmitted or recorded on media, the content can be identified as "never-copy", "copy-once" and "free-copy". These three states are represented using the Copy Generation Management System (CGMS) bits. (The CGMS bits are a part of the CCI.) All the A/V devices in the cluster should obey "playback control", "record control" and "one-generation control" rules as summarized below.

Device type\ content type	Never-copy	Copy-once	No-more-copies	Free copy
Player	Play.	Play.	Play.	Play.
Recorder	Do not record	Record and change content type to "no-more-copies" in the new copy.	Do not record.	Record.

A copy protection system must protect the transmission of the audio/video content from one A/V device to another, and must protect the storage of the audio/video content. The present invention defines solutions to both of these problems by "keeping content scrambled until it is displayed". It allows recording of scrambled content, but prohibits viewing if the content is not legitimate (i.e., not an original or a one-generation copy). This is in contrast with the recording rules as defined in the above table.

Particularly, Figure 1 illustrates a typical home network comprised of various digital audio/video devices capable of receiving digital content (e.g., a movie) where the present invention may be employed. The digital content is encoded with MPEG-2 Transport Stream (TS) format and broadcast together with the Entitlement Control Messages (ECMs). An ECM (see Figure 2a) is a cryptogram of the control word (i.e., descrambling key) and the access conditions.

The STB or DTV receives the scrambled A/V stream from a source (broadcast head-end or player) and transmits it directly to a smart card. The smart card (SC) 30 is inserted into, or coupled to, a smart card reader (not shown); an internal bus interconnects the STB or DTV and the smart card thereby permitting the transfer of data therebetween. Such smart cards include, for example, ISO 7816 cards complying with National Renewable Security Standard (NRSS) Part A or PCMCIA cards complying with NRSS Part B. As stated above, this inventive concept is not limited to smart cards per se, but can be employed with any renewable security device. Conceptually, when a smart card is coupled to a smart card reader, the functionality of the smart card may be considered to

be a part of the functionality of the digital television, thus removing the "boundaries" created by the physical card body of the smart card.

The smart card checks if the content is legitimate, recovers the DES keys, and descrambles the stream after checking the entitlement. (An on-screen display message (OSD) prompts the consumer to initiate a purchase offer just before the movie starts.) A subscription entitlement is stored in the card, but an event entitlement is transmitted with the event and used to generate the purchase offer).

Two unique, but related, methods for differentiating copies from an original and then verifying if the copy is legitimate prior to enabling the user to view the copy are defined below. In either method when the scrambled program is to be recorded, the first thing the recording device (e.g., a DVCR or a DVD recorder) does is to verify whether the program is scrambled. This may be achieved by checking for ECMs which are identified by their packet identification (PID) in the packet header. One alternative would be to check the Transport Scrambling Control (TSC) bits in the transport packet header. Another method would be to ascertain whether the program is scrambled as described below. The MPEG video syntax includes byte-aligned 32 bit fields called "start codes" that indicate synchronizing points in the bit stream. For example, there are "picture start codes" (0x 00 00 01 00) at the beginning of each frame in the MPEG video bit stream. These frames can occur at 60, 50, 30, or 24 frames per second (fps). Therefore, a simple test would be to look for picture start codes in the bitstream. If the rate of picture start codes per second is close to one of the possible rates, then it is reasonable to assume that the bit stream is not encrypted.

In one embodiment of the present invention if the content is scrambled, the recorder encrypts the ECMs using the global public key. Before encryption takes place, the recorder attaches a mark (or data item) (see Figure 2b) to each ECM as an indication of copying. In general, every time a scrambled movie is copied, its ECMs are encrypted once again, a process that may be referred to as "nesting". This allows the smartcard to determine how many times the original movie has been copied. The following example (wherein GPK is the Global public key, E is the Encryption process, CW is the Control word (the key for descrambling) and ECM contains CW, CCI, source of the content and other data) detects an illegitimate copy and prevents the display thereof.

Assume an ECM of the movie has the form: E_{GPK}(CW, never-copy). If a recorder receives this ECM, it will transform it to: E_{GPK} [E_{GPK}(CW, never-copy),

copy-mark)]. The movie with this nested ECM will be the output of the recording process. When a user attempts to view it, the smart card will detect that it is a copy of a "never-copy" content and will not allow display. If the movie is a "copy-once" content, the ECM will be in the form: E_{GPK} [E_{GPK}(CW, copy-once), copy-mark)] in the copy. This is an indication of a legitimate copy and the smart card will allow viewing. However, if a copy of a copy is created, the ECM will have two layers of nesting, for example, **[**E_{GPK} {E_{GPK} [E_{GPK}(CW, copy-once), copy-mark)], copy-mark}**]**, and the copy will be detected to be illegitimate.

One way to increase the security of the copy protection system is to use a local public key for recording purposes. This requires a smart card with a unique public/private key pair. For copying a movie, the smart card is coupled to the VCR and provides the public key. The public key is then used to encrypt the ECMs to create a copy that can be played only with the corresponding unique private key.

Another option to increase the security of the system is to attach a unique recorder ID together with the copy-mark during the ECM nesting process. This additional information creates a binding between the copy and the recorder. Further, both the recorder and the smart card would have the same recorder ID. Therefore, viewing of the copy would only be possible with the smart card having the recorder ID.

Every copyrighted (and encrypted) digital content shall be available to be copied on any recorder. The created copy, if legitimate, can then be viewed according to the rules of an established payment system. If, for example, a DTV receives a scrambled program without a nested ECM, then the DTV would treat the program as if it was an original scrambled program and not a copy. That is, the DTV would allow the program to be viewed. However, if the user wished to make a copy of the "original program", then the ECM and a data item would together be encrypted in accordance with the present invention.

In an alternate embodiment of the present invention, the ECMs are extended to contain the CGMS bits and access rights as well as control words. Every time copyrighted content (e.g., a movie) is recorded, the extended ECMs (XECMs) are modified through a one-way, irreversible transformation (for example, hashing) to distinguish copies from the original. A function f from a set X to a set Y is called a *one-way function* if $f(x)$ is easy to compute for all $x \in X$ but for essentially all $y \in \mathrm{Im}(f)$, it is computationally infeasible to find any $x \in X$ such that $f(x) = y$.

When the smart card receives the XECMs, it processes them depending on the type of the system. Two functionally distinct systems can be accommodated within this architecture: Conditional Access (CA) systems and Copy Protection (CP) systems.

(i) CA system: The smart card is a component of a CA system. Before viewing is allowed, the smart card checks how many times the XECMs are modified and responds according to the pre-defined rules of the CA system.

(ii) CP system: The smart card is a component of a CP system. The functionality of the smart card is limited. It checks the legitimacy of the content and prevents the viewing of illegitimate copies.

The processing of XECMs will be explained using the following example and referring to Figures 2C and 3. Assume a movie is being copied on a DVCR. Its XECM syntax is defined to be XECM = E_K(CW, D/T, content type, x_0),x_i, where $x_0 = x_1$, $x_{i+1} = f(x_i)$ for i > 0 and E is the encryption process, K is the encryption key, CW is the control word, D/T is the date and time stamp, x_0 is a random number, and f is a one-way function.

(a) Content type is "never-copy":
Recorder input: E_K(CW, D/T, "never-copy", x_0), x_1
Recorder output: E_K(CW, D/T, "never-copy", x_0), x_2
When the user attempts to view the copy, the card will, after decrypting the XECM, compare x_0 and x_2. If they are not equal, display will not be allowed.

(b) Content type is "copy-once":
Recorder input: E_K(CW, D/T, "copy-once", x_0), x_1
Recorder output: E_K(CW, D/T, "copy-once", x_0), x_2
This time the comparison of x_0 and x_2 will reveal that the copy is legitimate. If, however, the 1st generation copy is the input to the recorder, the output will be illegitimate since $f(f(x_0)) = x_3$. Note that the XECMs are modified without consideration of the number of modifications already made.

In CA systems, the D/T stamp field allows detection of copies made by a pirated recorder. When a card detects an "old" XECM that has not been modified, it will consider it to be a pirate copy. In CP systems, the D/T stamp can be used to assign limited lifetime to prerecorded media and authorized copies made from them.

A very important feature of the "XECM modification" scheme is that it gives the content distributors (broadcasters and publishers) complete freedom in choosing their encryption algorithm for creating the XECMs. Hence, although the copy protection system is constructed as an extension of the CA systems, it is "decoupled". The only requirement is to use the common structure for the XECM.

As described below, the XECM originating at the content source has two sections: Private and Mandatory. The Private section contains fields that are privately defined by the operators of CA and CP systems. The Mandatory section contains three fields that must be included in all XECMs.

The fields in the Private section of the XECM include: XECM_id (Unique identifier for the Extended Entitlement Control Message), XECM_length (an 8-bit field specifying the number of bytes in the XECM), format_identifier (a 32-bit field that identifies the registration authority that assigns values to the provider_index field), provider_index (a 16-bit field that identifies the content provider), program_event_id (a 24-bit field that identifies a particular TV program or event), transport_stream_id (a 16-bit field that identifies the Transport Stream where the event is being carried), source_id (a 16-bit field that identifies uniquely the particular service where the event is being transmitted), event_id (a 14-bit field that identifies uniquely a particular event within a given service of this Transport Stream), start_time (a 32-bit field indicating the event start time), length_in_seconds (a 20-bit field indicating the length of the event), title_segment (the first 10 characters of the English title for the event that this message describes), event_price (a BCD field which indicates the cost of the event), scrambling_key (a 64-bit key necessary for de-scrambling the video and audio signals for the event under consideration), descriptors_length (the total length of the descriptor list that follows the descriptors). The Mandatory section of the XECM include: CCI — Copy Control Information (CGMS bits, APS trigger bits and Digital Source bit), copy_indicator_initial_value (a random bit sequence) and copy_indicator (a bit sequence equal to copy_indicator_initial_value).

DTV 14 is the final destination of the digital content 40 for viewing. It receives the scrambled A/V stream from a source (broadcast/cable head-end, satellite, cable STB, DBS STB or playback device) and transmits it directly to the smart card 30. Smart card 30 checks if the content is legitimate. For example, if it receives a broadcast PPV movie, an OSD prompts the consumer to initiate a purchase offer before the movie starts. If the movie is purchased, a record is stored in the card. The card then recovers the scrambling keys and descrambles

the stream. The information about the event (price, start time, length, etc.) contained in the XECMs is used to generate the purchase offer. Finally, DTV 14 outputs the same stream it receives.

If a movie is to be recorded, the DVCR detects and modifies the XECMs. In addition, the Transport Scrambling Control (TSC) bits in the transport packet header can be checked to see if the content is scrambled.
If the content is not scrambled, it is copied as is. In general, every time a scrambled movie is copied, its XECMs are modified once again. This allows the smart card to determine how many times the original movie has been copied. Optionally, the XECM modification functionality can be assigned to a smart card inserted to the recorder. In this case, the recorder needs to have a smart card reader.

While the invention has been described in detail with respect to numerous embodiments thereof, it will be apparent that upon reading and understanding of the foregoing, numerous alterations to the described embodiment will occur to those skilled in the art and it is intended to include such alterations within the scope of the appended claims.

Claims

1. A method for copying a program having a scrambled program content component and an encrypted control component comprising:

(a) receiving, in a recording apparatus, said program;

(b) attaching a data item to said encrypted control component, said data item indicating that said program has been copied;

(c) encrypting said encrypted control component and said data item to generate a nested control component; and

(d) recording said program content component and said nested control component.

2. The method of Claim 1 wherein the steps of receiving, attaching and encrypting are performed in a smart card coupled to said recording apparatus.

3. The method of Claim 2 wherein said encrypted control component comprises copy control information, a descrambling key associated with said scrambled program content component.

4. The method of Claim 3 wherein said copy control information indicates one of never-copy state and copy-once state.

5. The method of Claim 4 wherein said encrypted control component is encrypted using a global public key.

6. The method of Claim 5 wherein said nested control component is encrypted using said global public key.

7. The method of Claim 6 wherein said global public key is associated with said smart card, said smart card having a corresponding private key stored therein.

8. The method of Claim 7 wherein said encrypted control component further comprises purchase information comprising channel identification data, event identity data, date and time stamp data, and billing data.

9. The method of Claim 8 wherein said smart card comprises a card body with a plurality of terminals arranged on a surface of said card body in accordance with one of ISO 7816 and PCMCIA card standards.

10. The method of Claim 9 wherein said recording apparatus is a digital video cassette recorder.

11. The method of Claim 10 wherein said recording apparatus is a recordable DVD apparatus.

12. A method for managing access to a copy of a restricted program, said method comprising:

(a) receiving said restricted program in a processing apparatus, said restricted program having a scrambled program content component and a nested control component, said nested control component being encrypted;

(b) decrypting said nested control component to obtain an encrypted control component and a data item, said data item indicating that said restricted program has been copied;

(c) decrypting said encrypted control component to obtain a descrambling key and copy control information;

(d) comparing said copy control information and said data item to determine if said copy is valid; and

(e) descrambling said program content component, using said descrambling key in response to a determination that said copy is valid.

13. The method of Claim 12 wherein said encrypted control component and said nested control component are encrypted using a global public key.

14. The method of Claim 13 wherein the steps of receiving, decrypting, comparing and descrambling are performed in a smart card coupled to said processing apparatus, said steps of decrypting employ a private key stored in said smart card and associated with said global public key.

15. The method of Claim 14 wherein said encrypted control component further comprises purchase information comprising channel identification data, event identity data, date and time stamp data, and billing data.

16. The method of Claim 15 wherein said purchase information comprises the cost of said program, said method further comprising:

(a) deducting the cost of said program from a cash reserve stored in said smart card to determine a calculated cash reserve;

(b) descrambling, in said smart card, said scrambled program content component using said descrambling key in response to having a positive calculated cash reserve; and

(c) passing said descrambled transmitted event to said video processing apparatus.

17. The method of Claim 16 wherein said cash reserve is downloaded in an e-cash certificate message from an automatic teller machine.

18. The method of Claim 17 wherein said processing apparatus is one of a digital video cassette recorder/player and a DVD recorder/player.

19. The method of Claim 18 wherein said smart card comprises a card body with a plurality of terminals arranged on a surface of said card body in accordance with one of ISO 7816 and PCMCIA card standards.

20. A method for managing access to a recorded copy of a restricted program using a smart card coupled to a video processing apparatus comprises:

(a) transferring, from a bank, a cash reserve to said smart card;

(b) receiving, in said smart card, said recorded copy of said restricted program from said video processing apparatus, said restricted program having a scrambled audio/video component and a nested control component, said nested control component being encrypted;

(c) decrypting said nested control component to obtain an encrypted control component and a data item, said data item indicating that said restricted program has been copied;

(d) decrypting said encrypted control component to obtain a descrambling key, copy control information and purchase information;

(e) comparing said copy control information and said data item to determine if said copy is valid;

(f) verifying that the cost of said restricted program is less than the stored cash reserve and deducting the cost of said restricted program from said stored cash reserve;

(g) descrambling said audio/video component, using said descrambling key.

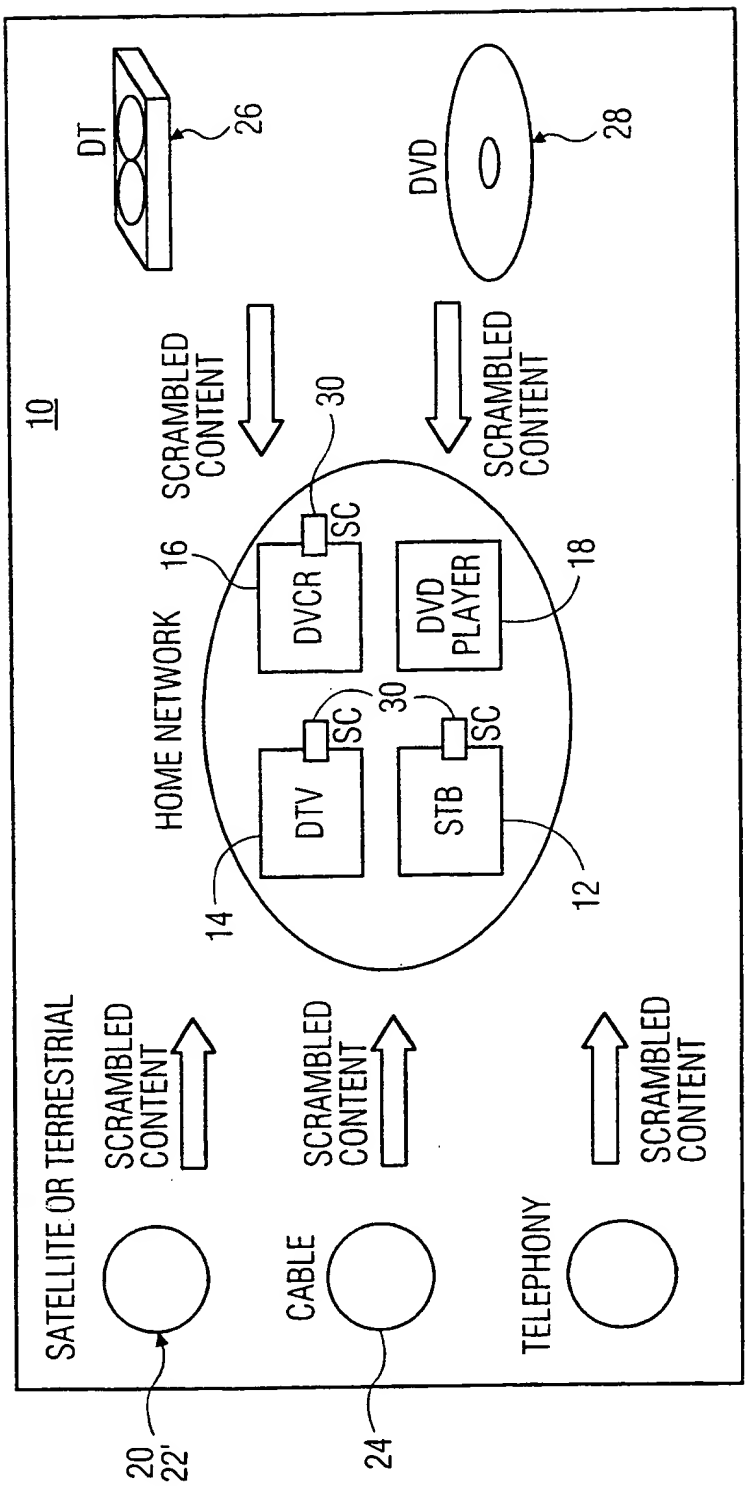
10
DT
26
DVD
28
SCRAMBLED CONTENT
SCRAMBLED CONTENT
30
SC
16
DVCR
DVD PLAYER
18
HOME NETWORK
DTV
STB
14
12
SATELLITE OR TERRESTRIAL
CABLE
TELEPHONY
SCRAMBLED CONTENT
SCRAMBLED CONTENT
SCRAMBLED CONTENT
20
22'
24

FIG. 1

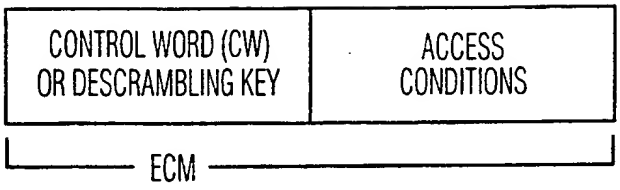

FIG. 2A

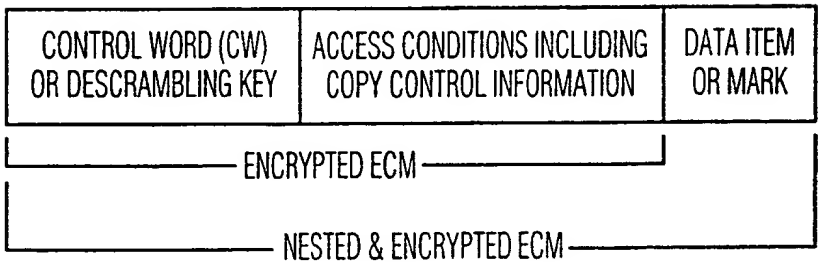

FIG. 2B

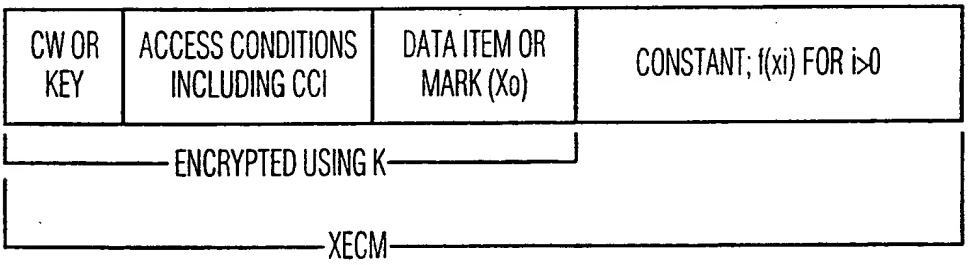

FIG. 2C

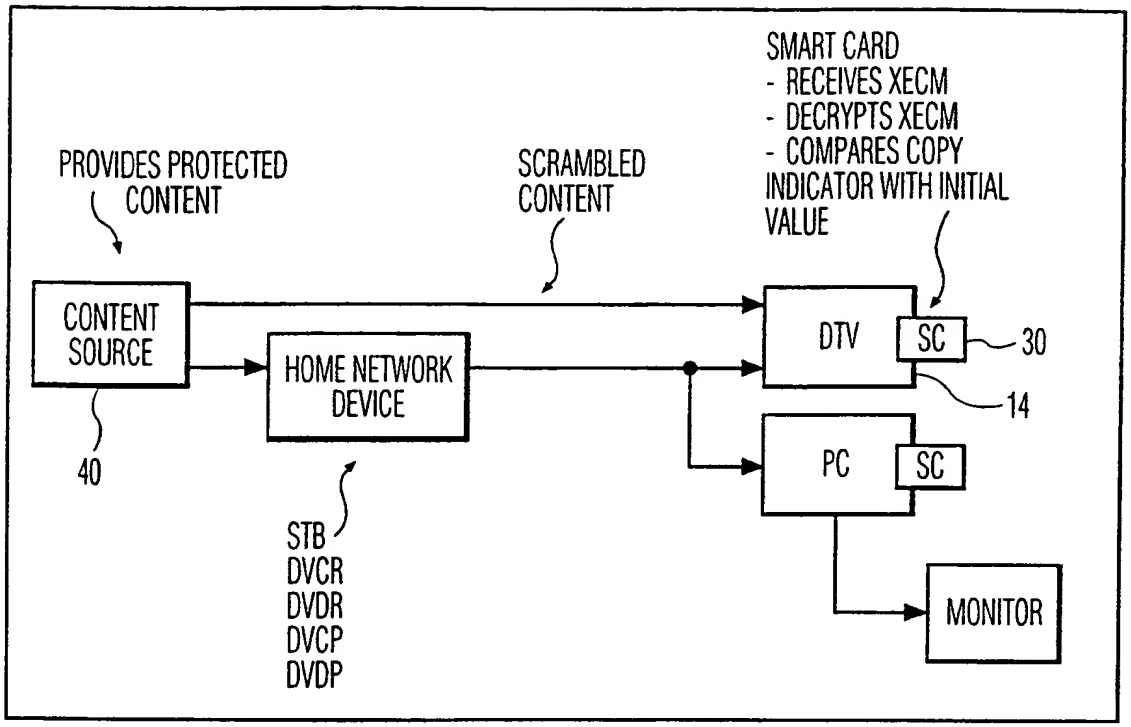
SMART CARD
- RECEIVES XECM
- DECRYPTS XECM
- COMPARES COPY INDICATOR WITH INITIAL VALUE
PROVIDES PROTECTED CONTENT
SCRAMBLED CONTENT
CONTENT SOURCE
HOME NETWORK DEVICE
DTV
SC
30
14
PC
SC
MONITOR
40
STB
DVCR
DVDR
DVCP
DVDP

FIG. 3

INTERNATIONAL SEARCH REPORT

Interna l Application No
PCT/US 99/19700

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04N5/913 H04N7/167 H04N7/24

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	EP 0 912 052 A (CANAL PLUS SA) 28 April 1999 (1999-04-28) the whole document	1-20
X	EP 0 763 936 A (LG ELECTRONICS INC) 19 March 1997 (1997-03-19) the whole document	1-20
X	EP 0 714 204 A (LG ELECTRONICS INC) 29 May 1996 (1996-05-29) the whole document	1-20
A	WO 97 25816 A (SONY CORP ;INOUE HAJIME (US); LEE CHUEN CHIEN (US); SONY ELECTRONI) 17 July 1997 (1997-07-17) the whole document	1,12

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[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

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"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
11 February 2000	18/02/2000

Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016	Giannotti, P

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INTERNATIONAL SEARCH REPORT

Interna al Application No
PCT/US 99/19700

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	"FUNCTIONAL MODEL OF A CONDITIONAL ACCESS SYSTEM" EBU REVIEW- TECHNICAL,BE,EUROPEAN BROADCASTING UNION. BRUSSELS, no. 266, 21 December 1995 (1995-12-21), pages 64-77, XP000559450 ISSN: 0251-0936	
A	EP 0 858 184 A (NDS LTD) 12 August 1998 (1998-08-12)	
A	FR 2 732 537 A (CANAL PLUS SA) 4 October 1996 (1996-10-04)	
A	EP 0 860 823 A (TOKYO SHIBAURA ELECTRIC CO) 26 August 1998 (1998-08-26)	

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

Information on patent family members

Interna al Application No
PCT/US 99/19700

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EP 0763936	A	19-03-1997	CN	1150738 A	28-05-1997
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A COPY PROTECTION SYSTEM FOR HOME NETWORKS

Field of the Invention

This invention concerns a system that may be used to manage access to a copy of a scrambled digital stream, such as a program or event. The scrambled digital stream is not descrambled until it is determined that the copy of the program is legitimate.

Background of the Invention

Today's NTSC televisions receive broadcast services from a variety of service providers. Some television receivers are capable of receiving unscrambled information or programs from broadcast, satellite and cable networks. Traditionally, cable networks or digital satellite systems providing scrambled or encrypted programs usually require a separate stand-alone device (e.g., a set-top box) to descramble or decrypt the program. These set-top boxes may utilize a removable smart card which contain the necessary decrypting algorithms and keys.

In the near future, digital televisions (DTVs) and digital set-top boxes (STBs) will be capable of receiving digital broadcast, cable and satellite services. Therefore, the protection of digital video and audio content has become one of the major issues for the Information Technology (IT), Consumer Electronics (CE) and Motion Picture (MP) industries. Analog services can be protected reasonably well using a signal distortion mechanism. As a similar solution is not possible for digital content, a new approach for delivering digital audio and video content with adequate protection against illegal duplication is needed.

Summary of the Invention

The present invention resides, in part, in recognition of the described problem and, in part, in providing a solution to this problem. A method is described for preventing the use of unauthorized copies of digital content (e.g., movies, etc.). The content, presented in MPEG-2 Transport Stream format, is scrambled using a common algorithm before release. The scrambling keys and other data are included in the Entitlement Control Messages (ECMs) that may be encrypted with the public key of a renewable security device (for example, a removable smart card). The other data includes the price and source (broadcast

or pre-recorded) of the content (or program) and Copy Control Information (CCI). Before recording a program, the recording device, connected to the home network, first checks if the program is scrambled. If scrambled content is detected, the recorder attaches a "copy-mark" or "data item" to each ECM in the new copy and encrypts them with the public key. The data item indicates that the restricted program (actually, that the audio/video component) has been copied. In general, every time a scrambled content is copied, its ECMs are encrypted once again. This process, called ECM nesting, allows the renewable security device coupled to the display unit (e.g., Digital TV) to distinguish between legitimate and illegitimate copies.

An event or program as described herein comprises one of the following: (1) audio/visual data such as a movie, weekly "television" show or a documentary; (2) textual data such as an electronic magazine, paper, or weather news; (3) computer software; (4) binary data such as images or (5) HTML data (e.g., web pages). A service provider may comprise any provider of an event or program, for example, traditional broadcast television networks, cable networks, digital satellite networks, providers of electronic list of events, such as electronic program guide providers, and in certain cases internet service providers.

A system in accordance with the present invention may utilize public key technology. Typically, such a system utilizes one public key (corresponding to a smart card) for all service providers. Each smart card has stored therein a secret private key that can decrypt messages encrypted by the public key. The service provider sends a conditional access (CA) entitlement message (i.e., an Entitlement Control Message or ECM) in the bit stream encrypted by the public key that may contain the name of the service provider, and the name, time, and cost of the program. This message is decrypted by the smart card, and the appropriate information is stored therein. In one embodiment, the smart card may have a certain amount of credit for purchases that has been enabled by a bank or from a service provider. As long as the limit is not exceeded, services can be purchased by the user. At some appropriate preprogrammed time, the smart card causes the device (e.g., set-top box) to automatically place a telephone call to the CA center. Using a secure channel, the CA center in cooperation with a bank receives billing information from the smart card and provides additional credit. The bank forwards the information and credits the appropriate service provider.

Generally, the present invention defines a method for managing access (i.e., viewing) to a copy of a restricted (or scrambled) broadcast or